

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi Miyawaki et al.  
 Serial No. : 09/920,922  
 Filed : August 2, 2001  
 Title : METHOD FOR MUTAGENESIS

Art Unit : 1638  
 Examiner : Unknown

RECEIVED

JAN 22 2002

Commissioner for Patents  
 Washington, D.C. 20231

TECH CENTER 1600/2900

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449, copies of which are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated October 30, 2001, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

November 16, 2001

Date of Deposit

Signature

*Rose Papetti*

Rose Papetti

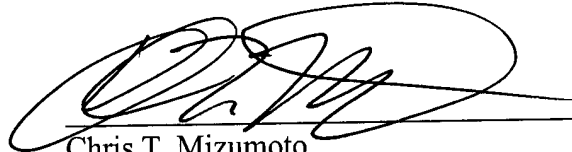
Typed or Printed Name of Person Signing Certificate

Applicant : Atsushi Miyawaki  
Serial No. : 09/920,922  
Filed : August 2, 2001  
Page : 2

Attorney's Docket : 11283-012001 / PH-1270US

Respectfully submitted,

Date: November 16, 2001

  
Chris T. Mizumoto  
Reg. No. 42,899

Fish & Richardson P.C.  
45 Rockefeller Plaza, Suite 2800  
New York, New York 10111  
Telephone: (212) 765-5070  
Facsimile: (212) 258-2291

30073331.doc